

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Koichiro MINATO et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2006_1285A
Filed August 3, 2006 :

ALUMINUM ELECTROLYTIC
CAPACITOR AND METHOD OF
PRODUCING THE SAME
[Corresponding to PCT/JP05/001494
Filed February 2, 2005]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

IAP11 Rec'd PCT/PTO 03 AUG 2006

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

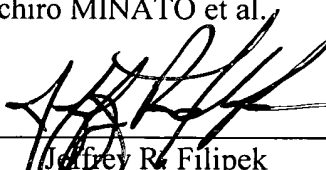
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ the International Search Report (in the English language) submitted herewith,
 - c. ☒ the concise explanation contained in the specification of the present application at pages 2 and 3,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
U.S. 2002/0139553 corresponds to JP 2002-289482
EP 1294031 corresponds to JP 2001-351587
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Koichiro MINATO et al.

By



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August 3, 2006

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PCT/PTO 03 AUG 2006

INFORMATION DISCLOSURE PATENT

Sheet 1 of 1.

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: August 3, 2006

ATTY DOCKET NO.
2006_1285A

SERIAL NO.
NEW

APPLICANT
Koichiro MINATO et al.

FILING DATE
August 3, 2006

GROUP

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2002/0139553	10/2002	Minato et al.			
	AB						
	AC						
	AD						

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AE	2002-289482	10/2002	JP			Abstract	
	AF	07-307254	11/1995	JP			Abstract	
	AG	1 294 031	3/2003	EP				
	AH	2001-351587	12/2001	JP			Abstract	
	AI	07-122467	5/1995	JP			Abstract	
	AJ	2002-203751	7/2002	JP			Abstract	
	AK	2003-031448	1/2003	JP			Abstract	
	AL	09-275045	10/1997	JP			Abstract	
	AM	04-19695	3/1992	JP			Partial	
	AN	04-012514	1/1992	JP			Abstract	

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)	
AO	Microfilm of the specification and drawings annexed to the request of Japanese Utility Model Application No. 181743/1985 (Laid-open No. 89125/1987) (Marcon Electronics Co., Ltd.), 08 June 1987, Full text; Figs. 1 to 11
AP	
AQ	

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.